

Audit Committee

Thursday 15 March 2018

PRESENT:

Councillor Sam Leaves, in the Chair.
Councillor Parker-Delaz-Ajete, Vice Chair.
Councillors Sam Davey, Dr Mahony, Mrs Pengelly

Co-opted Representatives: Mr Clarke and Mr Stewart.

Apologies for absence: Mr Curnow

Also in attendance: Jamie Sheldon (Democratic Advisor), Andrew Hardingham (Interim Joint Strategic Director Transformation and Change), Brenda Davis (Audit Manager), Mike Hocking (Head of Assurance Services), Chris Flower (Finance Business Partner), Robert Hutchins (Head of Devon Audit Partnership), Mathew Hepenstal (External auditor), Simon Arthurs (Senior Financial Analyst) and Jonney Steven (Head of Commercial Enterprise).

The meeting started at 2.00 pm and finished at 3.40 pm.

Note: At a future meeting, the committee will consider the accuracy of these draft minutes, so they may be subject to change. Please check the minutes of that meeting to confirm whether these minutes have been amended.

43. **Declarations of Interest**

The following declaration of interest was made in accordance with the Code of Conduct –

Name	Subject	Reason	Interest
Councillor Sam Leaves	NEW Devon CCG	Employee of NEW Devon CCG	Personal

44. **Minutes**

Agreed the minutes of the meeting held on 7 December 2017 as an accurate record subject to the following change:

Under attendance David Curno to be changed to David Curnow.

45. **Chair's Urgent Business**

There were no items of Chair's urgent business.

46. **Strategic Risk and Opportunity Register - Monitoring Report**

Mike Hocking (Head of Assurance Services) presented the report to the committee.

Members agreed –

To Note and endorse the current position with regard to the Strategic Risk and Opportunity Register.

47. **Integrated Commissioning Risk Register Report**

Mike Hocking (Head of Assurance Services) presented the report to the committee.

Members agreed –

To note and endorse the current position with regard to the integrated commissioning risk register.

48. **Insurance Claims Handling Audit**

Mike Hocking (Head of Assurance Services) presented the report to the committee.

Members agreed –

To note and endorse the current position with regard to the claims audit report.

49. **Treasury Management Practices, Principles and Schedules 2018/19**

Chris Flower (Finance Business Partner) presented the report to the committee.

Members agreed –

To approve the Treasury Management Practices, Principles and Schedules for 2018/19 as set out in this report to ensure compliance with the CIPFA Code of Practice for Treasury Management.

50. **Internal Audit Charter and Strategy 2018/19**

Brenda Davis (Audit Manager) presented the report to the committee.

Members agreed –

The Internal Audit Charter & Strategy for the year 2018/19

51. **Internal Audit Plan 2018/19**

Robert Hutchins (Head of Devon Audit Partnership) presented this report to the committee.

Members agreed –

The report to be noted and the proposed Internal Audit Plan for 2018/19 be approved.

52. **Planning Report (March 2018) (External Auditor)**

Mathew Hepenstal (External auditor) presented the report to the committee.

Members agreed –

To note the report.

53. **Grant Claims and Returns Certification (External Auditor)**

Mathew Hepenstal (External auditor) presented the report to the committee.

Members agreed –

To note the report.

54. **Contract Standing Orders**

Simon Arthurs (Senior Financial Analyst) presented the report to the committee.

Members agreed –

To support the amendments to the constitution and to endorse this through to Constitutional Review Group and Council. This will devolve the low level spend below £25,000 to front line staff from the go live date - 1st Aug 2018.

This will enable the Procurement Service Function operate more strategically and deliver a fit for purpose service.

55. **Transfer of Corporate Fraud Team to Devon Audit Partnership**

Jonney Steven (Head of Commercial Enterprise) introduced the report to the committee.

Members agreed -

1. To approve the transfer of the seven members of the PCC Corporate Fraud Team (CFT) to Devon Audit Partnership, with a contract put in place for DAP to continue to deliver these services.
2. To delegate the negotiation and signing of the aforementioned contract to the Joint Interim Strategic Director for Transformation and Change.

56. **Work Programme**

Members noted the work programme.